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COLORADO RIVER WATER
CONSERVATION DISTRICT

FEDERAL ENERGY REGULATORY COMMISSION
Washington, D. C. 20426

OFFICE OF ENERGY PROJECTS

Project No. 11880-000--Colorado
Ritschard Dam Project
Colorado River Water Projects Enterprise of the
Colorado River Water Conservation District

AUG 30 2001

Mr. R. Eric Kuhn
General Manager
Colorado River Water Conservation District
201 Centennial Street, Suite 200
Glenwood Springs, CO 81602

Subject: Introductory Letter

Dear Mr. Kuhn:

Article 4 of the recently issued preliminary permit for this project requires you to submit a progress report every six months. Enclosed for your convenience is a copy of article 4, which specifies the filing requirements.

The first progress report is due December 31, 2001. Subsequent reports are due every six months. You should send four copies of the report to:

The Secretary
Federal Energy Regulatory Commission
ATTN: DHAC, PJ-12.1
888 First Street, NE
Washington, D.C. 20426

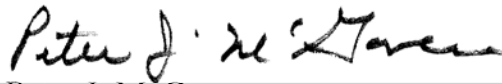
Your preliminary permit may be cancelled if you fail to pursue diligently the required consultation and feasibility studies. Any license application for the project must be filed before the permit expires to maintain your priority of application for a license.

Please note that, pursuant to section 4.82 of the Commission's regulations, you must submit an application to amend your preliminary permit if you plan to change the

project as proposed in your initial application. Also, please be advised that your preliminary permit does not authorize construction of any project works.

To help identify and expedite any future filings you make with the Commission, you may use our new form entitled "Voluntary Identification of New Filings." Enclosed for your convenience is a copy of the form and the Notice explaining the form's purpose and how to easily identify your filings. If you have any questions concerning this letter, please call Ahmad Mushtaq at (202) 219-2672.

Sincerely,

A handwritten signature in black ink, appearing to read "Peter J. McGovern".

Peter J. McGovern

Division of Hydropower

Administration and Compliance

Enclosures:
Standard Article 4
Form
Notice

Article 4. At the close of each six-month period from the effective date of this permit, the permittee shall file four copies of a progress report with the Secretary, Federal Energy Regulatory Commission, 888 First Street, N.E., Washington, D.C. 20426; and shall serve a copy on the intervenors in this proceeding. The report shall describe, for that report period, the nature and timing of what the permittee has done under the pre-filing requirements of 18 CFR 4.38 and other applicable regulations; and, where studies require access to and use of land not owned by the permittee, the status of the permittee's efforts to obtain permission therefor.

UNITED STATES OF AMERICA
FEDERAL ENERGY REGULATORY COMMISSION

NOTICE
REGARDING VOLUNTARY IDENTIFICATION OF NEW
FILINGS MADE WITH THE COMMISSION

(March 29, 2000)

This notice offers an optional and voluntary means for identification of new filings made with the Commission. Identification of filings as described below will help (1) ensure proper identification of filings, and (2) expedite the initial routing of filings within the Commission.

The filings covered by the optional procedures set out in this Notice are filings that need docket number assignment, *i.e.*, newly-docketed filings, such as pipeline certificate applications, applications for merger authority, applications for hydroelectric licensing, electric or gas rate filings, complaints, petitions for declaratory order. These optional procedures do not apply to interventions, comments, requests for rehearing, or the like, since these filings can be routed according to the docket number assigned to the filing initiating the proceeding.

The Commission receives many types of new filings requesting various forms of action. Upon receipt of these filings, it is currently incumbent upon the staff of the Secretary of the Commission (with advice from technical and legal staff) to determine the type of filing and how best to route that filing through the Commission for processing. However, if filings were more easily identifiable when filed, filings could be routed for processing faster, allowing processing time to be reduced accordingly. Additionally, as the Commission moves toward implementation of electronic filing, easy and expeditious identification of filings may become more critical for successful routing.

In order to assist filers in identifying filings, an Appendix is attached for reference. The Appendix lists filing types, a brief description of each filing type, and the related statutory reference. Filers may, at their discretion, submit a copy of the appropriate Appendix page with the type of filing highlighted or marked (by placing an 'X' in the box in front of the filing type). If a filer chooses to take this approach, all relevant filing types should be designated. For example, it could be necessary for a filer to submit an application for merger authority, and a new open access tariff. In such an instance, the filer would want to mark two types of filings: (1) application for merger authority, and (2) tariff-transmission. We also take this opportunity to suggest that filers inform the Commission of any related filings or proceedings in their transmittal (or cover) letters.

Please note that this approach for identifying new filings is an option that may or may not be adopted by a filer. The Appendix may be used immediately, and may be downloaded from our web site at <http://www.ferc.fed.us/online/rims.htm>. Due to technical difficulties the Appendix will not be available on the Commission's Issuance Posting System (CIPS). Alternatively, copies of this notice are on file with the Commission and are available for public inspection in the Public Reference Room. Any questions about or comment on this optional procedure may be directed to David P. Boergers, Secretary, Federal Energy Regulatory Commission, 888 First Street, NE, Washington, DC 20426, (202) 208-0400.

Linwood A. Watson, Jr.
Acting Secretary

VOLUNTARY IDENTIFICATION OF NEW FILINGS

Selected Case Types Processed at the FERC

The attached may be used with new filings made at the FERC. To use, mark in the first column the type of case being filed. Mark all filing types that apply.

The public disclosure of information originally supplied by the Federal Government to the recipient for the purpose of disclosure to the public is not included within "the definition of collection of information". 5 CFR 1320.3(c)(2)

| Check all that apply | Reference | Filing Type | Description of Filings |
|------------------------|-------------------------|---|--|
| GENERIC FILINGS | | | |
| | | Complaints | A filing alleging contravention or violation of a statute, rule, order or other law over which the Commission has jurisdiction. Rule 206 |
| | | Petition for Declaratory Order | Requests for orders stating the position the Commission would take under specific circumstances. Rule 207(a)(1). |
| | | Petition for Rulemaking | Request for the Commission to instigate a Rulemaking procedure. Rule 207(a)(4). |
| HYDRO FILINGS | | | |
| | PURPA § 405 ESA § 94 | Exemption From Licensing - 5MW Application | Request for exemption from licensing/5MW; Stat 611; CFR Part 4 Subparts D & K. |
| | FPA § 405 | Exemption from Licensing-Conduit Application | Request for exemption from licensing/conduit; CFR Part 4 Subparts D & J. |
| | FPA § 4(e) | License or New License Application | Request to construct or operate hydroelectric project works; CFR Part 4 Subparts D, E, F & G or FPA 14,15; CFR Part 16. |
| | FPA § 4(f), 5, 7(a) | Preliminary Permit Application | Request to maintain priority for application for a license; CFR Part 4 Subpart I. |
| | FPA § 3(11), 4(3) | Transmission Line License Application | Application for Transmission Line license; CFR Part 4 Subpart H |
| | FPA § 23(b) | Declaration of Intention | Statement declaring entities intention to operate an unlicensed project or construct a hydroelectric project; CFR 24, 385.207 & 381.302(b). |
| | FPA § 23(b) | Determination of Jurisdiction | Request to determine whether a hydroelectric project falls under FERC's jurisdiction. |
| | FPA § 23(b) | Petition for finding Lack of Jurisdiction | Request for a determination that a hydroelectric facility is not subject to FERC's jurisdiction; CFR 24, 385.207 & 381.302(b). |
| | FPA § 23(b) | Petition to Investigate Jurisdictional Status | Request for determination whether an operational hydroelectric facility should be licensed; CFR 24, 385.207 & 381.302(b) |
| | FPA § 6, 7(a) | Surrender of License, Exemption or Preliminary Permit | Application to surrender license, exemption or preliminary permit; CFR Part 6, Sections 4.102, 4.84 |
| | FPA § 8 | Transfer of License | Request to transfer license to another person; CFR Part 9. |
| | | Amendments Related to a Project's Land Resources | Filings for approval, both voluntary and pursuant to orders, concerning recreation plans, shorelines management, archeological surveys, etc; CFR Part 4, Subpart L. |
| | | Amendments Related to a project's aquatic and terrestrial resources | Filings for approval, both voluntary and pursuant to orders, concerning minimum flow studies, plans regarding fish, water quality, terrestrial (wildlife and vegetation) resources, etc; CFR Part 4, Subpart L. |
| | | Amendments Related to a Project's Engineering Resources | Filings for approval, both voluntary and pursuant to orders, concerning project structures or works design, location, operating criteria, capacity, design drawings, project modifications, as-build exhibits, etc; CFR Part 4, Subpart L. |
| | | Extensions of Time | Applications to amend filing or action deadlines specified in Commission orders; CFR Part 4, Subpart L. |
| | | Amendments to Allow Non-Project Use or Change in Project Lands or Rights | Applications for approval to use project lands for non-hydropower purpose, or to dispose of project land. CFR Part 4, Subpart L. |
| | FPA § 24 | Request for Determination for Power Site Reserve, Classification and Designation and Geothermal Lease | Request for determination for power site reserve, classification and designation (right-of-way of lease of federal lands) and geothermal lease; CFR Part 25. |
| | FPA § 24 | Request for Determination on Mineral Lease | Request for determination on mineral lease; CFR Part 25. |
| | FPA § 24 | Request for Determination on Mining Claim | Request for determination on mining claim; CFR Part 25 |
| | FPA § 24 | Request for Determination on Power Site Lands, Restoration, Withdrawal and Vacation | Request for determination on power site land, restoration, withdrawal and vacation; CFR Part 25. |

| Check all that apply | Reference | Filing Type | Description of Filings |
|----------------------|----------------------|--|---|
| | | ELECTRIC FILINGS | |
| | FPA § 205 | Tariff-Transmission | Application to establish or modify open access transmission tariff |
| | FPA § 205 | Tariff-Power Sales | Application to establish a power sale agreement pursuant to existing tariff. |
| | FPA § 205 | Tariff-Other | Application to establish or amend opportunity sales and interchange agreements, change in terms and conditions, extension of contract term, change in contract demand or delivery point, ISO meter service agreements, scheduling coordinator agreement, and power pool membership. |
| | FPA § 205 | Service Agreements - Tariff | Application to establish service agreements (firm, non-firm, network; short-term, long-term) under Open Access Tariff. |
| | FPA § 205 | Rate Schedule - Power Sales | Application to establish power sale at cost based rate. |
| | FPA § 205 | Rate Schedule - Requirements service or facilities agreements | Application to establish power sale agreements, and contribution in aid of construction agreements. |
| | FPA § 203 | RTO's, Transco's, ISO's, PX's | Proposals to transfer transmission facilities or establish market clearinghouse by transmission organizations, ISO's, and Power Exchanges |
| | FPA § 205 | Stranded Cost Filings | Applications to recover costs associated with facilities no longer used as a result of competition and implementation of Order 888. |
| | | Federal Rate Cases | Rate Schedules filed by the Department of Energy on behalf of the Federal Power Agencies. |
| | FPA § 205 | Market Based Authority | Requests by utilities for authority to charge market based rates for power sales and/or ancillary service |
| | | Notices of Cancellation | Notice of cancellation of service. |
| | | Standards of Conduct of Public Utility | Filings by transmission providers submitting written procedures to implement the standards of conduct conforming to section 37.4; Order No. 889 |
| | | Report of Refund Obligation | Report of refund obligation. |
| | FPA § 204 | Application for Securities Issuance | Application for issuance of securities or assumption of liabilities. |
| | FPA § 203 | Application for Merger Authority | Request to transfer control of jurisdictional facilities to another entity by merger or consolidation |
| | FPA § 203 | Application for Authority to Acquire or Dispose of Facilities | Request for dispositions/acquisition of jurisdictional facilities (other than by merger). |
| | | Utility Accounting Request | Request for accounting interpretation or approval; 18 CFR Part 101 & 201. |
| | | Application for Exempt Wholesale Generator Request | Request by power producer to qualify as EWG under PUHCA & EPACT. |
| | | Application for Certification / Re-certification of Cogeneration Facilities | Request for qualifying facility status of a cogeneration facility under CFR Section 207(b). |
| | | Application for Certification/ Re-certification of Small Power Production Facilities | Request for qualifying facility status of a small Power Production facility under CFR Section 207(b). |
| | | Notices of Self-Certification of Cogeneration Facilities | Notice of Self-Certification by owner or operator of a Cogeneration Facility to acquire a QF status under CFR Section 292.207(a) |
| | | Notices of Self-Certification of Small Power Production Facilities | Notice of Self-Certification by owner or operator of a Small Power Production Facility to acquire a QF status under CFR Section 292.207(a). |
| | FPA § 305(b) | Application to Hold Interlocking Positions | Applications by persons seeking to hold more than one office in a public utility or corporation. |
| | | Informational Filings | Periodic filings used to monitor transactions either pursuant to Commission Order, company settlement, or contract. |
| | FPA § 210; FPA § 211 | Electric Interconnection or Transmission Service | Application for order requiring interconnection or transmission service. |

| Check all that apply | Reference | Filing Type | Description of Filings |
|-------------------------------------|------------|---|---|
| PIPELINE CERTIFICATE FILINGS | | | |
| | NGA § 7(c) | Prior Notices – Request Under Blanket Certificate Per CFR Section 157. | Routine filings requiring minor review, including the construction and operation of certain facilities costing between \$7.3 million and \$20.2 million under CFR Part 157. |
| | NGA § 7(f) | Application for Service Area Determination | Application for Approval of NGA Section 7(f) Service Area Determination. |
| | NGA § 7(c) | Application for Abandonment of Facilities | Application to abandon pipeline facilities under NGA Section 7(b); CFR Part 157. |
| | NGA § 7(c) | Application for Abandonment of Services | Application to abandon transmission services under NGA Section 7(b); CFR Part 157. |
| | NGA § 7(c) | Application for Blanket Certificate by Hinchaw Company or Local Distribution Company | Application for approval under NGA Section 7 to perform routine activities under a blanket certificate under CFR Section 284.224. |
| | NGA § 7(c) | Application for Blanket Certificate to Provide Transportation Service | Application for approval under NGA Section 7 to perform routine activities under a blanket certificate under CFR Part 284 (A). |
| | NGA § 7(c) | Application for Certificate to Construct & Operate Facilities (under threshold in Section 375.307) | Application for approval under NGA Section 7 to construct and operate facilities costing less than the threshold in CFR Section 375.307, currently \$20.2 Million. |
| | NGA § 7(c) | Application for Certificate to Construct & Operate Facilities (equal to or over threshold in Section 375.307) | Application for approval under NGA Section 7 to construct and operate facilities costing more than the threshold in CFR Section 375.307, currently \$20.2 Million. |
| | NGA § 7(c) | Requests for Waiver of 30 day Notice for Construction or Replacement of Facilities | Request for waiver of notice requirements for construction or replacement of facilities. |
| | NGA § 3 | Application for Presidential Permit & Siting Authority | Application to site border facilities under NGA Section 3; CFR Part 153. |
| | NGA § 1 | Applications for Exemption under Section 1C | Application for exemption under Section 1C of the NGA. |
| GAS RATE & OIL CASES | | | |
| | NGA § 4 | Tariff Filings (Do not change rates or revenue requirements) | Applications to modify non-rate tariff language, CFR Part 154 |
| | NGA § 4 | Filing to Change Rate | Application to change existing rates for pipeline transmission & storage services, CFR Section 154.201. |
| | NGA § 4 | Filing to Initiate a New Rate Schedule | Filing to Initiate a New Rate Schedule under CFR Section 154.202. |
| | NGA § 4 | Tracker Filing | Adjustments to rates pursuant to tariff provisions or Commission order |
| | NGPA § 311 | Intrastate Filing | Self-implementing sales, transportation or assignment of gas in interstate commerce by intrastate entities. |
| | NGPA § 502 | Petition for Adjustment Relief | Petition for adjustment relief under NGPA Section 502 (C) and CFR Subpart K of Section 385. |
| | NGA § 4 | Service Agreement, Contracts | Non-conforming service agreements. |
| | | Standards of Conduct | Filings by market affiliates complying with requirements of CFR Part 161 and Section 250.16. |
| | | Report of Refund Obligation | Report by pipelines on the return of monies found to be in excess of appropriate levels. |
| | ICA § 6 | Rate/Tariff Filings | Tariff filings providing rates, rules and regulations for oil pipeline transportation; may include Fourth Section and Special Permission applications. |
| | | Petition for Review of DOE Remedial Orders or Denial of Adjustment. | Petition for Review of DOE Remedial Orders or Denial of Adjustment. |